

**AUDIT AND RISK MANAGEMENT
COMMITTEE**

Thursday, 11 January 2018 at 7.30 pm
Conference Room, Civic Centre, Silver
Street, Enfield, EN1 3XA

Contact: Metin Halil
Committee Secretary
Direct: 020 8379 4091
Tel: 020 8379 1000
Ext: 4091

E-mail: Metin.Halil@enfield.gov.uk
Council website: www.enfield.gov.uk

MEMBERS: Councillors Mary Maguire (Chair), Guney Dogan, Doris Jiagge,
Robert Hayward, Ertan Hurer, George Savva MBE and Toby Simon

Officers: James Rolfe (Executive Director of Finance, Resources & Customer
Services), Jayne Middleton-Albooye (Head of Legal), Christine Webster (Head of
Internal Audit & Risk Management), and Jeremy Chambers (Director of Law &
Governance)

Also attending: David Eagles and Andrew Barnes (External Audit - BDO)

AGENDA – PART 1

1. WELCOME AND APOLOGIES FOR ABSENCE

2. DECLARATION OF INTEREST

Members of the Committee are invited to identify any disclosable pecuniary,
other pecuniary or non - pecuniary interests relevant to items on the agenda.

**3. AUDIT & RISK MANAGEMENT COMMITTEE INDEPENDENT PERSON -
PETER NWOSU - 07:30 - 07:35**

To note the recommendation to Full Council that Mr Peter Nwosu will be the
Audit & Risk Management Committee Independent Person.

4. BDO - CERTIFICATION REPORT - 07:35 - 07:45

To receive from BDO (external auditors) the Certification Report.

(TO FOLLOW)

5. BDO - PROGRESS REPORT - 07:45 - 07:55

To receive from BDO (external auditors) a progress report on the external
audit to 31 December 2017.

(TO FOLLOW)

6. IGB ANNUAL PERFORMANCE UPDATE 2017/18 AND UPDATE ON GENERAL DATA PROTECTION REGULATION (GDPR) - 07:55 - 08:10

To receive a report from the Executive Director of Finance, Resources & Customer Services providing a report on the Information Governance Board Annual Performance 2017/18 and Update on the GDPR.

(Report No.132)

Item 6

(TO FOLLOW)

7. RISK REGISTERS UPDATE - CHILDREN'S SERVICES, HOUSING, HEALTH & ADULT SOCIAL CARE, REGENERATION & ENVIRONMENT - 08:10 - 08:30 (Pages 1 - 14)

To receive the report of the Executive Director of Finance, Resources & Customer Services providing a Risk registers update for Children's Services, HHASC, Regeneration & Environment.

(Report No.130)

8. SCRUTINY OF RIPA STATISTICS - 08:30 - 08:45 (Pages 15 - 64)

To receive a report from the Executive Director of Finance, Resources & Customer Services providing an update on any recent applications, the recent Inspection by the Office of Surveillance Commissioners and a review of the new version of the Council's Policy and Procedure Document.

(Report No.131)

9. ANTI-MONEY LAUNDERING POLICY & GUIDANCE - 08:45 - 08:55 (Pages 65 - 82)

To receive the report of the Executive Director of Finance, Resources & Customer Services providing a report on the Anti-Money Laundering Policy and Guidance.

(Report No.128)

10. 2017/18 INTERNAL AUDIT SERVICE PROGRESS REPORT - 08:55 - 09:05 (Pages 83 - 94)

To receive the report of the Executive Director of Finance, Resources & Customer Services summarising the work that the Internal Audit and Risk Management Service (ARMS) has completed for the period 1 April 2017 to 20 December 2017.

(Report No.129)

11. MINUTES (Pages 95 - 112)

Audit & Risk Management Committee

- a. To receive and agree the minutes of the Audit Committee meeting held on Thursday 28 September 2017, as a correct record.
- b. To note the update on actions identified at the last meeting.

12. AUDIT & RISK MANAGEMENT COMMITTEE WORK PROGRAMME 2017/18 (Pages 113 - 116)

The Committee is asked to agree the Work Programme put forward for the 2017/18 municipal year along with the timetabling of each issue on the Work Programme.

13. DATES OF FUTURE MEETINGS

To Note the dates of future meetings:

Thursday 11 January 2018 – 07:30pm
Tuesday 7 March 2018

(All meetings to commence at 7.00pm unless otherwise agreed.)

14. EXCLUSION OF THE PRESS AND PUBLIC

If necessary, to consider passing a resolution under Section 100A(4) of the Local Government Act 1972 excluding the press and public from the meeting for any items of business moved to part 2 of the agenda on the grounds that they involve the likely disclosure of exempt information as defined in those paragraphs of Part 1 of Schedule 12A to the Act (as amended by the Local Government (Access to Information) (Variation) Order 2006).

There are no items currently listed for consideration in Part 2.