

**AUDIT AND RISK MANAGEMENT
COMMITTEE**

Wednesday, 6 March 2019 at 7.00 pm
Conference Room, Civic Centre, Silver
Street, Enfield, EN1 3XA

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MEMBERS: Councillors Mahym Bedekova (Chair), Birsen Demirel, Tim Leaver, Sabri Ozaydin, George Savva MBE, Hass Yusuf, Lee David-Sanders and Peter Nwosu (Independent Member)

Officers: Fay Hammond (Director of Finance), Jeremy Chambers (Director of Law & Governance) and Gemma Young (Head of Internal Audit & Risk Management)

Also attending: David Eagles (External Audit - BDO), Lucy Trevett (External Audit – BDO) and Grace Quist-Therson (External Audit - BDO).

AGENDA – PART 1

1. WELCOME AND APOLOGIES FOR ABSENCE

2. DECLARATION OF INTEREST

Members of the Audit & Risk Management Committee are invited to identify any dis-closable pecuniary, other pecuniary or non – pecuniary interests relevant to items on the agenda.

3. BDO - GRANTS AND RETURNS CERTIFICATION REPORT 2017/18 - 19:05 - 19:15 (Pages 1 - 12)

To receive from BDO (external auditors) the Grants and Returns Certification Report 2017/18.

4. BDO - PROGRESS REPORT 2018/19 - 19:15 - 19:25 (Pages 13 - 20)

To receive from BDO (external auditors) a progress report on the external audit 2018/19.

5. SERVICE DELIVERY RISK REGISTERS UPDATE - PLACE & PEOPLE - 19:25 - 19:40 (Pages 21 - 32)

To receive a report from the Director of Law and Governance providing a Service Delivery Risk Registers update.

(Report No.198)

6. IGB ANNUAL PERFORMANCE YEAR END UPDATE 2018/19 & GDPR IMPLEMENTATION - 19:40 - 19:50

To receive the report of the Director of Law & Governance presenting the Information Governance Board's year end annual performance update 2018/19 and the implementation of the General Data Protection Regulations (GDPR).

(TO FOLLOW)

7. LOCAL AUTHORITIES TRADING COMPANIES UPDATE - 19:50 - 20:05
(Pages 33 - 44)

To receive a report from the Acting Director Commercial presenting an update on the Local Authorities Trading Companies Update.

8. CONTRACT PROCEDURE RULES - 20:05 - 20:20

To receive the report of the Director of Finance providing a Review of the Contract Procedure Rules.

(TO FOLLOW)

9. INTERNAL AUDIT PLAN 2019/20 AND INTERNAL AUDIT CHARTER - 20:20 - 20:35 (Pages 45 - 62)

To receive a report from the Director of Law & Governance presenting the Internal Audit Plan 2019/20 and the Internal Audit Charter.

(Report No.196)

10. INTERNAL AUDIT PROGRESS REPORT 2018/19 - 20:35 - 20:45 (Pages 63 - 88)

To receive a report from the Director of Law and Governance presenting the Internal Audit Progress Report 2018/19.

(Report No.197)

11. ANTI-MONEY LAUNDERING POLICY & GUIDANCE - 20:45 - 20:55
(Pages 89 - 104)

To receive a report from the Director of Law and Governance presenting the Anti-Money Laundering Policy and Guidance.

(Report No.194)

12. WHISTLE BLOWING POLICY - 20:55 - 21:05 (Pages 105 - 114)

To receive a report from the Director of Law and Governance presenting the Whistle Blowing Policy.

13. BREXIT RISK FOR LOCAL AUTHORITIES - UPDATE - 21:05 - 21:15

To receive an update from the Director of Finance on the Brexit Risk for Local Authorities.

(TO FOLLOW)

14. MINUTES (Pages 115 - 136)

Audit & Risk Management Committee

- a. To receive and agree the minutes of the Audit Committee meeting held on Thursday 24 January 2019 as a correct record.
- b. To note the update on actions identified at the last meeting.

15. AUDIT & RISK MANAGEMENT COMMITTEE WORK PROGRAMME 2017/18 (Pages 137 - 140)

The Committee is asked to agree the Work Programme put forward for the 2018/19 municipal year along with the timetabling of each issue on the Work Programme.

16. DATES OF FUTURE MEETINGS

Members are asked to note:

- (a) that this is the last ordinary meeting of the Audit & Risk Management Committee scheduled for the 2018/19 Municipal Year;
- (b) that a new programme of dates for the 2019/20 municipal year are currently being prepared for inclusion and approval as part of the Council's 2019/20 calendar of meetings, which is due to be considered and approved at the Annual Council meeting on 8 May 2019.

The provisional dates being included on the calendar for consultation purposes will be provided for members as soon as they have been finalised.

17. EXCLUSION OF THE PRESS AND PUBLIC

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 excluding the press and public from the meeting for the item of business listed on part 2 of the agenda on the grounds that they involve the likely disclosure of exempt information as defined in those paragraphs of Part 1 of Schedule 12A to the Act (as amended by the Local Government (Access to Information) (Variation) Order 2006).
(Members are asked to refer to the part 2 agenda).

