

**AUDIT AND RISK MANAGEMENT
COMMITTEE**

Wednesday, 19 June 2019 at 7.00 pm
Conference Room, Civic Centre, Silver
Street, Enfield, EN1 3XA

Contact: Metin Halil
Committee Secretary
Direct: 020 8379 4091
Tel: 020 8379 1000
Ext: 4091

E-mail: Metin.Halil@enfield.gov.uk
Council website: www.enfield.gov.uk

MEMBERS: Councillors Mahym Bedekova (Chair), Huseyin Akpinar, Dinah Barry, Birsen Demirel, Charith Gunawardena, Lee David-Sanders and Peter Nwosu (Independent Member)

Officers: Fay Hammond (Interim Executive Director Resources), Jeremy Chambers (Director of Law & Governance), Matt Bowmer (Interim Director of Finance) and Gemma Young (Head of Internal Audit & Risk Management)

Also attending: Lucy Trevett (External Audit – BDO).

AGENDA – PART 1

1. WELCOME AND APOLOGIES FOR ABSENCE

2. DECLARATION OF INTEREST

Members of the Audit & Risk Management Committee are invited to identify any dis-closable pecuniary, other pecuniary or non – pecuniary interests relevant to items on the agenda.

3. AUDIT & RISK MANAGEMENT COMMITTEE PROTOCOL REPORT ON THE DRAFT STATEMENT OF ACCOUNTS - 19:05 - 19:15

To receive a report from the Director of Law and Governance, providing a Protocol Guidance on Conduct and Objection to the Draft Accounts.

(Report No.35)

(TO FOLLOW)

4. UNAUDITED STATEMENT OF ACCOUNTS 2018/19 & DRAFT ANNUAL GOVERNANCE STATEMENT - 19:15 - 19:30 (Pages 1 - 110)

To receive the report of the Acting Executive Director Resources presenting the Draft Annual Statement of Accounts 2018/19 and the Draft Annual Governance Statement 2018/19.

5. BDO - PROGRESS REPORT 2018/19 - 19:30 - 19:40 (Pages 111 - 118)

To receive from BDO (external auditors) a progress report on the external audit 2018/19.

6. IGB ANNUAL PERFORMANCE YEAR END UPDATE 2018/19 & GDPR IMPLEMENTATION - 19:40 - 19:50

To receive the report of the Director of Law & Governance presenting the Information Governance Board's year end annual performance update 2018/19 and the implementation of the General Data Protection Regulations (GDPR).

(Report No.)

(TO FOLLOW)

7. DRAFT ANNUAL SCHOOLS INTERNAL AUDIT LETTER 2018/19 - 19:50 - 20:00 (Pages 119 - 146)

To receive a report from the Director of Law and Governance providing The draft Annual Schools Internal Audit Letter 2018/19.

(Report No.30)

8. CORPORATE RISK REGISTER - 20:00 - 20:15 (Pages 147 - 156)

To receive a report from the Director of Law and Governance providing a Corporate Risk Registers update.

(Report No.29)

9. COUNTER FRAUD SERVICE ANNUAL REPORT 2018/19 - 20:15 - 20:25 (Pages 157 - 166)

To receive a report from the Director of Law and Governance providing the Counter Fraud Service Annual Report 2018/19.

(Report No.31)

10. INTERNAL AUDIT ANNUAL REPORT 2018/19 - 20:25 - 20:35 (Pages 167 - 210)

To receive a report from the Director of Law & Governance presenting the Internal Audit Annual Report 2018/19.

(Report No.28)

11. 2019/20 INTERNAL AUDIT PLAN AND INTERNAL AUDIT CHARTER - 20:35 - 20:45 (Pages 211 - 228)

To receive a report from the Director of Law and Governance presenting the 2019/20 Internal Audit Plan and Internal Audit Charter.

(Report No.32)

12. AUDIT & RISK MANAGEMENT COMMITTEE ANNUAL REPORT 2018/19 - 20:45 - 20:55

To receive a report from the Director of Law and Governance presenting the Audit & Risk Management Committee Annual Report 2018/19.

(TO FOLLOW)

13. MINUTES (Pages 229 - 250)

Audit & Risk Management Committee

a. To receive and agree the minutes of the Audit Committee meeting held on Wednesday 6 March 2019 as a correct record.

b. To note the update on actions identified at the last meeting.

14. AUDIT & RISK MANAGEMENT COMMITTEE WORK PROGRAMME 2019/20 (Pages 251 - 254)

The Committee is asked to agree the Work Programme put forward for the 2019/20 municipal year along with the timetabling of each issue on the Work Programme.

15. DATES OF FUTURE MEETINGS

To note the dates agreed for future meetings of the Audit & Risk Management Committee:

Thursday 4 July 2019

Thursday 25 July 2019

Thursday 17 October 2019

Thursday 16 January 2020

Thursday 5 March 2020

(All meetings to commence at 7.00pm unless otherwise agreed.)

16. EXCLUSION OF THE PRESS AND PUBLIC

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 excluding the press and public from the meeting for

the item of business listed on part 2 of the agenda on the grounds that they involve the likely disclosure of exempt information as defined in those paragraphs of Part 1 of Schedule 12A to the Act (as amended by the Local Government (Access to Information) (Variation) Order 2006).
(Members are asked to refer to the part 2 agenda).