

**AUDIT AND RISK MANAGEMENT  
COMMITTEE**

Thursday, 16 January 2020 at 7.00 pm  
Conference Room, Civic Centre, Silver  
Street, Enfield, EN1 3XA

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**MEMBERS:** Councillors Mahym Bedekova (Chair), Tim Leaver (Vice-Chair),  
Dinah Barry, Birsen Demirel, Charith Gunawardena, James Hockney, Lee David-  
Sanders, Claire Stewart, Yasemin Brett and Peter Nwosu (Independent Member)

**Officers:** Fay Hammond (Acting Executive Director Resources), Jeremy Chambers  
(Director of Law & Governance), Gemma Young (Head of Internal Audit & Risk  
Management), Matt Bowmer (Director of Finance) and Gareth Robinson (Head of  
Corporate Finance).

**Also attending:** David Eagles (External Audit - BDO) and Lucy Trevett (External  
Audit – BDO)

**AGENDA – PART 1**

**1. WELCOME AND APOLOGIES FOR ABSENCE**

**2. DECLARATION OF INTERESTS**

**3. BDO - AUDIT PLAN 2019/20 - COUNCIL - 10 MINUTES**

To receive a report from BDO (External Auditors) presenting the 2019/20  
Council Audit Plan.

**(TO FOLLOW)**

**4. BDO - AUDIT PLAN 2019/20 - PENSION FUND - 10 MINUTES**

To receive a report from BDO (External Auditors) presenting the 2019/20  
Pension Fund Audit Plan.

**(TO FOLLOW)**

**5. BDO - ANNUAL AUDIT LETTER 2018/19 - 5 MINUTES**

To receive a report from BDO (External Auditors) presenting the 2018/19  
London Borough of Enfield and Pension Fund Audit Letter.

**(TO FOLLOW)**

**6. STATEMENT OF ACCOUNTS - ACTION PLAN UPDATE - 10 MINUTES**  
(Pages 1 - 10)

To receive a report on the Action Plan to the Statement of Accounts.

**7. IGB ANNUAL PERFORMANCE YEARLY UPDATE 2019/20 & GDPR IMPLEMENTATION - 5 MINUTES** (Pages 11 - 14)

To receive the report of the Director of Law & Governance presenting the Information Governance Board's yearly update 2019/20 and the implementation of the General Data Protection Regulations (GDPR).

**8. TREASURY MANAGEMENT STRATEGY - PRUDENTIAL INDICATORS, CAPITAL EXPENDITURE & SENSITIVITY OF INTEREST RATES - 10 MINUTES**

To receive a report from the Director of Finance, presenting the Council's proposed 2019/20 Treasury Management Strategy & Prudential Indicators.  
**(TO FOLLOW)**

**9. CYBER AND TECHNOLOGY SECURITY - 10 MINUTES**

To receive a report on the Council's Cyber & Technology Security.  
(Report No.)  
**(TO FOLLOW)**

**10. ILLEGAL ENCAMPMENTS - 10 MINUTES** (Pages 15 - 16)

To receive an update report from the Executive Director Place regarding illegal encampments in the Borough.  
(Report No.67)

**11. CORPORATE RISK REGISTER - 10 MINUTES** (Pages 17 - 28)

To receive a report from the Director of Law and Governance providing a Corporate Risk Registers update.  
(Report No.183)

**12. AUDIT AND RISK MANAGEMENT SERVICE PROGRESS REPORT - 10 MINUTES** (Pages 29 - 62)

To receive a report from the Director of Law and Governance presenting the Internal Audit Progress Report 2019/20.  
(Report No.181)

**13. BREXIT RISK REGISTER UPDATE - 10 MINUTES** (Pages 63 - 72)

To receive a report from the Director of Law and Governance presenting the Brexit Risk Register Update.

**14. MINUTES** (Pages 73 - 114)

**Audit & Risk Management Committee**

- a. To receive and agree the minutes of the Audit Committee meeting held on Thursday 3 October 2019 and Thursday 17 October 2019 as a correct record.
- b. To note the update on actions identified at the last meeting.

**15. AUDIT & RISK MANAGEMENT COMMITTEE WORK PROGRAMME 2019/20** (Pages 115 - 118)

The Committee is asked to agree the Work Programme put forward for the 2019/20 municipal year along with the timetabling of each issue on the Work Programme.

**16. DATES OF FUTURE MEETINGS**

To note the dates agreed for future meetings of the Audit & Risk Management Committee:

Thursday 5 March 2020

(All meetings to commence at 7.00pm unless otherwise agreed.)

**17. EXCLUSION OF THE PRESS AND PUBLIC**

If necessary, to consider passing a resolution under Section 100A(4) of the Local Government Act 1972 excluding the press and public from the meeting for any items of business moved to part 2 of the agenda on the grounds that they involve the likely disclosure of exempt information as defined in those paragraphs of Part 1 of Schedule 12A to the Act (as amended by the Local Government (Access to Information) (Variation) Order 2006).

There are no items currently listed for consideration in Part 2.