

**AUDIT AND RISK MANAGEMENT
COMMITTEE**

Thursday, 29 September 2016 at 7.15 pm
Conference Room, Civic Centre, Silver
Street, Enfield, EN1 3XA

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MEMBERS: Councillors Mary Maguire (Chair), Guney Dogan (Vice-Chair),
Jansev Jemal, Doris Jagge, Terence Neville OBE JP, Robert Hayward,
George Savva MBE and Chaitali Roy (Independent Member)

AGENDA – PART 1

1. WELCOME AND APOLOGIES FOR ABSENCE

2. DECLARATION OF INTEREST

Members of the Committee are invited to identify any disclosable pecuniary,
other pecuniary or non - pecuniary interests relevant to items on the agenda.

3. FINAL AUDITED STATEMENT OF ACCOUNTS 2015/16 -19:15 - 19:30

To receive the report of the Director of Finance, Resources &
Customer Services presenting the audited annual statement of
accounts for the 2015/16 financial year along with a schedule of the
agreed adjustments between the draft and final statement.

(Report No.91)

(TO FOLLOW)

**4. BDO - AUDIT COMPLETION REPORT ON STATEMENT OF ACCOUNTS
2015/16 AND USE OF RERSOURCES - 19:30 - 19:40**

To receive from BDO (external auditors) a report highlighting the key issues
arising from the Council's financial statements for the year ending 31 March
2016.

(TO FOLLOW)

5. 2016/17 AUDIT AND RISK MANAGEMENT SERVICE PROGRESS REPORT - 19:40 - 19:50 (Pages 1 - 18)

To receive the report of the Director of Finance, Resources & Customer Services summarising the work that the Internal Audit and Risk Management Service (ARMS) has completed for the period 1 April 2016 to 19 September 2016.

(Report No.90)

6. AUDIT COMMITTEE EFFECTIVENESS AND LEARNING DEVELOPMENT PLAN - 19:50 - 20:00 (Pages 19 - 36)

To receive the report of the Director of Finance, Resources & Customer Services, presenting the results of the Audit & Risk Management Committee's self-assessment of effectiveness and the results of Member's self-assessments of skills and experiences, to identify potential training needs.

(Report No.88)

7. OPERATIONAL & STRATEGIC RISK REGISTERS UPDATE - 20:00 - 20:10 (Pages 37 - 54)

To receive a report from the Director of Finance, Resources and Customer Services providing a summary of the Council's departmental (renamed 'operational' and strategic risk registers as updated in August/September 2016.

(Report No.89)

8. RIPA UPDATE - 20:10 - 20:20

To receive a report from the Director of Finance, Resources & Customer Services providing an update on the use of RIPA to ensure that it is being used in accordance with the law.

(Report No.92)

(TO FOLLOW)

9. FINANCIAL RESILIENCE CAPACITY BUILDING PROGRAMME UPDATE - 20:20 - 20:30

To receive a verbal update from the Director of Finance, Resources & Customer Services regarding the Financial Resilience Capacity Building Programme.

10. MINUTES (Pages 55 - 64)

Audit & Risk Management Committee

- a. To receive and agree the minutes of the Audit Committee meeting held on Thursday 1 September 2016, as a correct record.
- b. To note the update on actions identified at the last meeting.

11. AUDIT & RISK MANAGEMENT COMMITTEE WORK PROGRAMME 2016/17 (Pages 65 - 66)

The Committee is asked to agree the Work Programme put forward for the 2016/17 municipal year along with the timetabling of each issue on the Work Programme.

12. DATES OF FUTURE MEETINGS

To note the dates agreed for future meetings of the Audit Committee:

Tuesday 1 November 2016
Thursday 12 January 2017
Tuesday 7 March 2017

(All meetings to commence at 7.00pm unless otherwise agreed.)

13. EXCLUSION OF THE PRESS AND PUBLIC

If necessary, to consider passing a resolution under Section 100A(4) of the Local Government Act 1972 excluding the press and public from the meeting for any items of business moved to part 2 of the agenda on the grounds that they involve the likely disclosure of exempt information as defined in those paragraphs of Part 1 of Schedule 12A to the Act (as amended by the Local Government (Access to Information) (Variation) Order 2006).

There are no items currently listed for consideration in Part 2.