

AUDIT COMMITTEE – DRAFT WORK PROGRAMME 2016/17

Item	4 July 16	1 Sept 16	29 Sept 16*	1 Nov 16	12 Jan 17*	7 March 17
Annual Statement of Accounts/Governance Statement	Unaudited Accounts	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS			
BDO report on Statement of Accounts						
BDO - Annual Audit & Fees Letter (including audit & inspection plan)				Annual Audit Letter		
Treasury Management Strategy & Prudential Indicators						& Council Borrowing
Capital Expenditure & Sensitivity of Interest Rates						
Contract Procedure Rules – Review & Waivers	Waivers		Waivers Report & Update on procurement spend			
Revised Property Procedure Rules					Operational Review	
Audit Committee Annual Report	15/16 Annual Report					
Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	(if applications to consider)		Update	Update	Update	Update
Information Governance & Document Retention	IGB Annual Performance update – 2015/16			IGB Annual Performance update – 2016/17		
Financial Resilience Capacity Building Programme			Update on Financial Resilience - Finance			
Enfield 2017 Transformation Programme	OSC/Audit Committee Update – 8 March 2016					
Audit Committee Protocol - (yearly)						
Local Authority Trading Companies				Update – to include the Audit & Risk Management dis-charge of requirements for LVHN		
Deprivation of Liberty Safeguards (DoLS)	Update					
Audit Committee Self - Assessment of Effectiveness					Update	
Housing and Planning Bill (future item to be heard)						

Business Rates						
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Council's Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 				Update on Counter Fraud Service	Anti-money Laundering Policy & Draft Risk Management Strategy	Whistle Blowing Policy
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	Draft Risk Management Strategy & Internal Audit Annual Report 15/16			Corporate Risk Report	Review of Effectiveness – Audit Committee	
BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> Accounts Audit Approach Memorandum Use of Resources Audit Grants Certification Data Conversion Review Value For Money Review of Financial Resilience 			Audit Completion Report – incl. Use of Resources, Value for Money & Financial Resilience		Certification Report	Audit Plan – March 2017/18
Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> Update of audit plan progress Key risk findings Investigations undertaken Sanctions & prosecutions Results achieved Whistleblowing Policy Internal Audit Plan 	Internal Audit Annual Report 15/16 – To include ERPF close down report.					Internal Audit Plan 2017/18
External Audit (BDO) – Progress Report						

* To include a private meeting between the Committee members and External Audit.