

AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2017/18

Item	5 July 17	7 Sept 17	28 Sept 17*	1 Nov 17	11 Jan 18*	7 March 18
Annual Statement of Accounts/Governance Statement	Unaudited Accounts & Draft AGS 2016/17	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS			
BDO report on Statement of Accounts						
BDO - Annual Audit & Fees Letter (including audit & inspection plan)				Annual Audit Letter		
Treasury Management Strategy & Prudential Indicators					& Council Borrowing	
Capital Expenditure & Sensitivity of Interest Rates						
Contract Procedure Rules – Review & Waivers			Waivers Report & Update on procurement spend _ July or October 2017			
Revised Property Procedure Rules	New date in new municipal year 2017/18.					
Audit Committee Annual Report & Self - Assessment of Effectiveness	16/17 Annual Report					
Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	(if applications to consider)		Update	Update	Update	Update
Information Governance & Document Retention	IGB Annual Performance update – 2016/17			IGB Annual Performance update – 2017/18		
Financial Resilience Capacity Building Programme			Update on Financial Resilience - Finance			
Enfield 2017 Transformation Programme						
Audit Committee Protocol - (yearly)						
Local Authority Trading Companies			Update – to include the Audit & Risk Management dis-charge of requirements for LVHN – This work is in progress as part of the Assurance programme and will be reported in July 2018.			
Housing and Planning Bill (future item to be heard)						
Impact of Brexit vote on the Council (future item to be heard)						

Managing the Savings						
Financial Regulations						
Business Rates						

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Council's Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 					Anti-money Laundering Policy & Update on Counter Fraud Service	Whistle Blowing Policy – July 2017 date
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks Internal Audit Annual Report 16/17		Service Delivery Risk Register Update – Children's Services, HHASC, Regeneration & Environment Review of Effectiveness – Audit Committee	Corporate Risk Management Strategy	Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks	Service Delivery Risk Register Update – Children's Services, HHASC, Regeneration & Environment
BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> Accounts Audit Approach Memorandum Use of Resources Audit Grants Certification Data Conversion Review Value For Money Review of Financial Resilience 	1. Progress Report 2. LBE Pension Fund planning report		Audit Completion Report – incl. Use of Resources, Value for Money & Financial Resilience		Certification Report	Audit Plan – March 2018/19
Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> Update of audit plan progress Key risk findings Investigations undertaken Sanctions & prosecutions Results achieved Whistleblowing Policy Internal Audit Plan 	1. Internal Audit Annual Report 16/17 2. Counter Fraud Annual Report 2016/17					Internal Audit Plan 2018/19
External Audit (BDO) – Progress Report						

* To include a private meeting between the Committee members and External Audit.