

## AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2017/18

Item	5 July 17	7 Sept 17	28 Sept 17*	1 Nov 17	11 Jan 18*	7 March 18
<b>Annual Statement of Accounts/Governance Statement</b>	Unaudited Accounts & Draft AGS 2016/17	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS			
<b>BDO report on Statement of Accounts</b>						
<b>BDO - Annual Audit &amp; Fees Letter (including audit &amp; inspection plan)</b>				Annual Audit Letter		
<b>Treasury Management Strategy &amp; Prudential Indicators</b>					& Council Borrowing	
<b>Capital Expenditure &amp; Sensitivity of Interest Rates</b>						
<b>Contract Procedure Rules – Review &amp; Waivers</b>				Waivers Report & Update on procurement spend _ July or October 2017		
<b>Revised Property Procedure Rules</b>	New date in new municipal year 2017/18.					
<b>Audit Committee Annual Report &amp; Self - Assessment of Effectiveness</b>	16/17 Annual Report					
<b>Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes</b>	(if applications to consider)		Update	Update	Update	Update
<b>Information Governance &amp; Document Retention</b>	IGB Annual Performance update – 2016/17				IGB Annual Performance update – 2017/18	
<b>Financial Resilience Capacity Building Programme</b>			Update on Financial Resilience - Finance			
<b>Universal Credit Implementation</b>						
<b>Audit Committee Protocol - (yearly)</b>						
<b>Local Authority Trading Companies</b>			Update – to include the Audit & Risk Management dis-charge of requirements for LVHN – This work is in progress as part of the Assurance programme and will be reported in July 2018.			
<b>Housing and Planning Bill (future item to be heard)</b>						
<b>Impact of Brexit vote on the Council (future item to be heard)</b>						

<b>Properties in Enfield Following Grenfell Disaster</b>						
<b>Managing the Savings</b>						
<b>Financial Regulations</b>						
<b>Terms of Reference – CIPFA position Statement</b>						

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<b>Council’s Counter Fraud Strategy – items to be confirmed and allocated</b> <ul style="list-style-type: none"> <li>Counter Fraud &amp; Corruption Strategy</li> <li>Money Laundering Policy</li> </ul>					Anti-money Laundering Policy & Update on Counter Fraud Service	Whistle Blowing Policy – July 2017 date
<b>Risk Management Reviews – items to be confirmed and allocated</b> <ul style="list-style-type: none"> <li>Risk Management Strategy</li> <li>Corporate risk register update</li> <li>Departmental risk registers</li> <li>Specific risk monitoring</li> <li>Promotion of risk awareness</li> </ul>	Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks  Internal Audit Annual Report 16/17		Service Delivery Risk Register Update – Children’s Services, HHASC, Regeneration & Environment	Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks  Review of Effectiveness – Audit Committee	Service Delivery Risk Register Update – Children’s Services, HHASC, Regeneration & Environment	Corporate Risk Management Strategy
<b>BDO specific reports – items to be confirmed and allocated</b> <ul style="list-style-type: none"> <li>Accounts Audit Approach Memorandum</li> <li>Use of Resources Audit</li> <li>Grants Certification</li> <li>Data Conversion Review</li> <li>Value For Money</li> <li>Review of Financial Resilience</li> </ul>	1. Progress Report  2. LBE Pension Fund planning report		Audit Completion Report – incl. Use of Resources, Value for Money & Financial Resilience		Certification Report	Audit Plan – March 2018/19
<b>Internal Audit &amp; Investigations – Progress Report</b> <ul style="list-style-type: none"> <li>Update of audit plan progress</li> <li>Key risk findings</li> <li>Investigations undertaken</li> </ul>	1. Internal Audit Annual Report 16/17  2. Counter					Internal Audit Plan 2018/19

<ul style="list-style-type: none"> <li>• Sanctions &amp; prosecutions</li> <li>• Results achieved</li> <li>• Whistleblowing Policy</li> <li>• Internal Audit Plan</li> </ul>	Fraud Annual Report 2016/17					
<b>External Audit (BDO) – Progress Report</b>						

\* To include a private meeting between the Committee members and External Audit.