

AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2018/19

Item	20 June 18*	5 July 18	26 July 18*	18 Oct 18*	10 Jan 19*	6 Mar 19*
Annual Statement of Accounts/Governance Statement	Unaudited Accounts & Draft AGS 2017/18	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS			
BDO report on Statement of Accounts			LBE Audit Completion Report 2017/18 & Pension Fund Audit Completion Report 2017/18			
BDO - Annual Audit & Fees Letter (including audit & inspection plan)	LBE Fee Letter 2018/19 & Pension Fund Fee Letter 2018/19			Annual Audit Letter 2017/18		
Treasury Management Strategy & Prudential Indicators				& Council Borrowing		
Capital Expenditure & Sensitivity of Interest Rates						
Contract Procedure Rules – Review & Waivers					Waivers Report & Update on procurement spend	
Revised Property Procedure Rules	New date in new municipal year 2018/19.					
Audit Committee Annual Report & Self - Assessment of Effectiveness	17/18 Annual Report					
Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	(if applications to consider)			Update	Update	Update
Information Governance & Document Retention				IGB Annual Performance Half Year update – 2018/19 & GDPR Implementation		IGB Annual Performance update – 2018/19 & GDPR Implementation
Financial Resilience Capacity Building Programme				Update on Financial Resilience - Finance		
Universal Credit Implementation						
Audit Committee Protocol - (yearly)						

Local Authority Trading Companies				Update – to include the Audit & Risk Management dis-charge of requirements for LVHN – This work is in progress as part of the Assurance programme and will be reported in July 2018.		
Housing and Planning Bill (future item to be heard)						
Impact of Brexit vote on the Council (future item to be heard)						
Properties in Enfield Following Grenfell Disaster						
Managing the Savings						
Financial Regulations						
Terms of Reference – CIPFA position Statement						
Meridian Water Update						
Travellers Report						

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Council’s Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 						Anti-money Laundering Policy & Guidance and Whistle Blowing Policy
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	Service Enabling Gateway & Business Intelligence Risk Registers Update – Resources , Chief Exec, Strategic Risks		Service Delivery Risk Register Update – Children’s Services, HHASC, Regeneration & Environment		Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks Review of Effectiveness – Audit Committee	Service Delivery Risk Register Update – Children’s Services, HHASC, Regeneration & Environment Corporate Risk Management Strategy

BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> • Accounts Audit Approach Memorandum • Use of Resources Audit • Grants Certification • Data Conversion Review • Value For Money • Review of Financial Resilience 	1. Progress Report				Grants and Returns Certification Report 2017/18	LBE Audit Plan 2018/19 & Pension Fund Audit Plan 2018/19
Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> • Update of audit plan progress • Key risk findings • Investigations undertaken • Sanctions & prosecutions • Results achieved • Whistleblowing Policy • Internal Audit Plan 	1. Internal Audit Annual Report 17/18 2. Counter Fraud Annual Report 2017/18					Internal Audit Plan 2019/20 & Internal Audit Progress Report 2018/19
External Audit (BDO) – Progress Report						

* To include a private meeting between the Committee members and External Audit.