

AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2018/19

| Item | 20 June 18* | 5 July 18 | 26 July 18* | 18 Oct 18* | 10 Jan 19* | 6 Mar 19* |
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| Annual Statement of Accounts/Governance Statement | Unaudited Accounts & Draft AGS 2017/18 | Unaudited Accounts – Discussion Meeting | Final audited accounts & AGS | ISA260 Update & Sign off of SOA 2017/18 | | |
| BDO report on Statement of Accounts | | | LBE Audit Completion Report 2017/18 & Pension Fund Audit Completion Report 2017/18 | | | |
| BDO - Annual Audit & Fees Letter (including audit & inspection plan) | LBE Fee Letter 2018/19 & Pension Fund Fee Letter 2018/19 | | | | Annual Audit Letter 2017/18 | |
| Treasury Management Strategy & Prudential Indicators | | | | | & Council Borrowing | |
| Capital Expenditure & Sensitivity of Interest Rates | | | | | | |
| Contract Procedure Rules – Review & Waivers | | | | | Waivers Report & Update on procurement spend | |
| Revised Property Procedure Rules | New date in new municipal year 2018/19. | | | | | |
| Audit Committee Annual Report & Self - Assessment of Effectiveness | 17/18 Annual Report | | | | | |
| Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes | (if applications to consider) | | | Update | Update | Update |
| Information Governance & Document Retention | | | | IGB Annual Performance Half Year update – 2018/19 & GDPR Implementation | | IGB Annual Performance update – 2018/19 & GDPR Implementation |
| Financial Resilience Capacity Building Programme | | | | Update on Financial Resilience - Finance | | |
| Universal Credit Implementation | | | | | | |

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| Audit Committee Protocol - (yearly) | | | | | | |
| Local Authority Trading Companies | | | | | Update – to include the Audit & Risk Management discharge of requirements for LVHN – This work is in progress as part of the Assurance programme and will be reported in July 2018. | |
| Debt Recovery Process | | | | | | |
| Impact of Brexit vote on the Council (future item to be heard) | | | | | | |
| Properties in Enfield Following Grenfell Disaster | | | | | | |
| Managing the Savings | | | | | | |
| Financial Regulations | | | | | | |
| Terms of Reference – CIPFA position Statement | | | | | | |
| Meridian Water Update | | | | | | |
| Travellers Report | | | | | | |

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| Council's Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy | | | | | | Anti-money Laundering Policy & Guidance and Whistle Blowing Policy |
| Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness | Service Enabling Gateway & Business Intelligence Risk Registers Update – Resources , Chief Exec, Strategic Risks | | Service Delivery Risk Register Update – Children's Services, HHASC, Regeneration & Environment | | Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks Review of Effectiveness – Audit | Service Delivery Risk Register Update – Children's Services, HHASC, Regeneration & Environment Corporate Risk Management Strategy |

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| BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> • Accounts Audit Approach Memorandum • Use of Resources Audit • Grants Certification • Data Conversion Review • Value For Money • Review of Financial Resilience | 1. Progress Report | | | | Grants and Returns Certification Report 2017/18 | LBE Audit Plan 2018/19 & Pension Fund Audit Plan 2018/19 |
| Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> • Update of audit plan progress • Key risk findings • Investigations undertaken • Sanctions & prosecutions • Results achieved • Whistleblowing Policy • Internal Audit Plan | 1. Internal Audit Annual Report 17/18 2. Counter Fraud Annual Report 2017/18 | | | | | Internal Audit Plan 2019/20 & Internal Audit Progress Report 2018/19 |
| External Audit (BDO) – Progress Report | | | | | | |

* To include a private meeting between the Committee members and External Audit.