

AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2018/19

Item	20 June 18*	5 July 18	26 July 18*	18 Oct 18*	24 Jan 19*	6 Dec 2018	6 Mar 19*
Annual Statement of Accounts/Governance Statement	Unaudited Accounts & Draft AGS 2017/18	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS	ISA260 Update & Sign off of SOA 2017/18			
BDO report on Statement of Accounts			LBE Audit Completion Report 2017/18 & Pension Fund Audit Completion Report 2017/18			Audit Plan 2019/20	
BDO - Annual Audit & Fees Letter (including audit & inspection plan)	LBE Fee Letter 2018/19 & Pension Fund Fee Letter 2018/19				Annual Audit Letter 2017/18 Audit Plan 2018/19 – Council main Account & Pension Audit Plan 2018/19		
Treasury Management Strategy & Prudential Indicators					Council & Borrowing		
Capital Expenditure & Sensitivity of Interest Rates							
Contract Procedure Rules – Review & Waivers					Waivers Report & Update on procurement spend		Contract Procedure Rules
Revised Property Procedure Rules	New date in new municipal year 2018/19.						
Audit Committee Annual Report & Self - Assessment of Effectiveness	17/18 Annual Report. Review of Effectiveness June 2019						
Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	(if applications to consider)			Update	Update		Update
Information Governance & Document Retention							IGB Annual Performance Yearly update – 2018/19 & GDPR Implementation
Financial Resilience Capacity Building Programme				Update on Financial Resilience - Finance			

Universal Credit Implementation							
Audit Committee Protocol - (yearly)							
Local Authority Trading Companies							Update
Debt Recovery Process							
Brexit Risk for Local Authorities							update
Properties in Enfield Following Grenfell Disaster							
Managing the Savings							
Financial Regulations							Audit Committee -Review of Effectiveness and Fin Regs – Constitution Refresh
Terms of Reference – CIPFA position Statement							
Meridian Water Update							
Travellers Report							

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Council's Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 							Anti-money Laundering Policy & Guidance and Whistle Blowing Policy
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	Service Enabling Gateway & Business Intelligence Risk Registers Update –Resources , Chief Exec, Strategic Risks		Service Delivery Risk Register Update – Children's Services, HHASC, Regeneration & Environment		Service Enabling Gateway & Business Intelligence Risk Registers Update – FRCS, Chief Exec, Strategic Risks		Service Delivery Risk Register Update – Children's Services, HHASC, Regeneration & Environment Corporate Risk Management Strategy

BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> • Accounts Audit Approach Memorandum • Use of Resources Audit • Grants Certification • Data Conversion Review • Value For Money • Review of Financial Resilience 	1. Progress Report				LBE Audit Plan 2018/19 & Pension Fund Audit Plan 2018/19		Grants and Returns Certification Report 2017/18
Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> • Update of audit plan progress • Key risk findings • Investigations undertaken • Sanctions & prosecutions • Results achieved • Whistleblowing Policy • Internal Audit Plan 	1. Internal Audit Annual Report 17/18 2. Counter Fraud Annual Report 2017/18						Internal Audit Plan 2019/20 & Internal Audit Progress Report 2018/19
External Audit (BDO) – Progress Report							

* To include a private meeting between the Committee members and External Audit.