MUNICIPAL YEAR 2018/19 REPORT NO. **200**

MEETING TITLE AND DATE: Audit & Risk Management Committee 6 March 2019 **REPORT OF:** Director of Law and Governance	**Agenda – Part:** | **Item: 13**
	Subject: Initial Brexit Risk Register **Wards: All**
	Cabinet Member consulted:

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1.   EXECUTIVE SUMMARY

1.1   This report presents the initial Brexit risk register developed by the Brexit Panel, which is chaired by Cllr Daniel Anderson, Deputy Leader of the Council.

1.2   The initial Brexit risk register aims to capture the risks of a "No Deal" Brexit from day 1 to day 100. Longer term risk registers have been developed and are under review.

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2.   RECOMMENDATIONS

2.1   To note the risks recorded in the initial Brexit risk register.

3. BACKGROUND

3.1 The Council's Risk Management Strategy allows for the regular review of the operational and strategic risk registers.

3.2 In accordance with the Strategy, the Audit & Risk Management Committee is responsible for monitoring the effective development and operation of risk management in the Council to ensure compliance with the Strategy.

3.3 The Brexit Panel is a time limited, task focused group, established to assist the local authority in managing its response to the UK exiting EU. The Group is not a formal committee and is not a decision-making body. The Group will report back to the Cabinet and make recommendations for decisions where appropriate to do so.

3.4 The Brexit Panel consists of senior officers from across the Council, along with representatives from partner organisations, other public and private and third sector bodies.

3.5 The risks, assessments, controls and mitigating actions in the register presented in this report have been reviewed and agreed by the Brexit Panel, who are responsible for ensuring that there is a dynamic management of Brexit related risks.

3.6 The Risk Management Team continues to provide oversight, challenge and advice to departments regarding effective risk mitigation and governance.

3.7 The initial Brexit risk register is provided in Appendix A.

3.8 The Brexit Panel will continue to collate and consider risks associated with Brexit.

4. ALTERNATIVE OPTIONS CONSIDERED

4.1 There are no alternative options to consider.

5. REASONS FOR RECOMMENDATIONS

5.1 The Council's Risk Management Strategy allows for the review of the initial Brexit risk register.

6. COMMENTS FROM OTHER DEPARTMENTS

6.1 Financial Implications

There are no financial implications arising directly from a review of the initial Brexit risk register.

6.2 Legal Implications

The Accounts and Audit (England) Regulations 2011 Section 4(1) requires the Council to have a sound system of internal control which facilitates the effective exercise of the Council's functions and which includes arrangements for the management of risk.

This report has been completed as part of the Council's corporate Risk management process.

6.3 Property Implications

There are no property implications arising directly from a review of the initial Brexit risk register.

7. KEY RISKS

Any large complex organisation needs to have a well-established and systematic risk management framework in place to identify and mitigate risks it may face.

This report forms a part of the Council's risk management process.

8. IMPACT ON COUNCIL PRIORITIES – CREATING A LIFETIME OF OPPORTUNITIES IN ENFIELD

8.1 Good homes in well-connected neighbourhoods

An effective Risk Management Service helps to provide assurance over any risks that might adversely affect the delivery of good homes in well-connected neighbourhoods.

8.2 Sustain strong and healthy communities

An effective Risk Management Service will help the Council achieve its objectives to sustain strong and healthy communities.

8.3 Build our local economy to create a thriving place

An effective Risk Management Service will help the Council achieve its objectives in building the local economy and creating a thriving place.

9. EQUALITIES IMPACT IMPLICATIONS

It is not relevant or proportionate to carry out an equalities impact assessment / analysis for the review of the initial Brexit risk register.

10. PERFORMANCE MANAGEMENT IMPLICATIONS

An effective Risk Management process is an essential part of the performance management of the Council's services and activities.

11. HEALTH AND SAFETY IMPLICATIONS

There are no Health and Safety implications arising directly from a review of the initial Brexit risk register.

13. PUBLIC HEALTH IMPLICATIONS

There are no Public Health implications arising directly from a review of the initial Brexit risk register.

Background Papers

None

Appendix A – "No Deal" Brexit Risk Register, Day 1-100 (March 2019)

Risk Ref / Title / Detail / Description	Initial Risk	Controls – What we have in place	Current Risk	Actions – What we still need to do	Lead Officer(s) / Target Date
BR0 – Preparedness Inadequate preparations made by the Council in the event of a "No Deal" Brexit will result in significant disruption to services.	HIGH	- Brexit Panel set up, ToR agreed, and membership expanded to Met and LFB - Part of the London wide "Brexit" group	MEDIUM	CAB to be invited to join the panel	Shaun Rogan March 2019
				Meeting with local businesses (inc London Chamber of Commerce, Warburtons etc.)	Cllr Anderson / Mark Bradbury / Shaun Rogan March 2019
				Business case to be developed to utilise additional funding	Shaun Rogan / Fay Hammond March 2019
BR1 – Fuel shortage Fuel shortage caused by panic buying and/or delays to supply, causes disruption to key services	HIGH	- Fuel reserves held - Emergency Plan in place	HIGH	Contact Local/National suppliers to find out their plans and contingencies for distribution	Helen Papadopolous March 2019
				Review of existing plan regarding fuel for key services to be discussed at next panel meeting	Helen Papadopolous March 2019
BR2 – Medicine shortages Delay in access to medicines and/or shortage of supply may result in increased costs and disruption in service	HIGH	Initial controls currently in development.	HIGH	Coordinate with CCG / NHS to determine any anticipated issues with availability of medicines	Tony Theodoulou March 2019
				Director of Public Health to be asked to prepare a briefing for the next panel	Tony Theodoulou March 2019
BR3 – Civil Unrest Uncertainty may cause panic buying, riots and disturbance	HIGH	- Existing emergency plan in place	MEDIUM	Next steps to be determined following update on BR1/BR2	TBC

BR4 – Staffing Uncertainty of the Brexit process may lead to staff disruption/absences.	MEDIUM	- Unlikely to see any issues until 2020 - No concerns raised by staff or management	LOW	No further actions planned, Risk currently at an acceptable level	Not applicable
BR5 – Qtr 1 Funding Temporary delay on EU direct funding/grants scheduled to be received in qtr1	MEDIUM	- Exposure currently at a very low level with no disruption expected	LOW	- No further actions planned, Risk currently at an acceptable level	Not applicable
BR6 – Anxiety and Stress Increased levels of anxiety and stress amongst population and staff may lead to an increase In demand on services.	HIGH	Initial controls currently in development.	HIGH	Press Release to be prepared to update Public on current preparations being made by LBE	David Greely March 2019
				Email to staff to update on current preparations	David Greely March 2019
				Partner organisations to be contacted to ensure consistent message is given	Shaun Rogan March 2019
				Refresh of the council webpage, content proposals to be brought to the next panel meeting for discussion and approval.	David Greely / Shaun Rogan March 2019
BR7 – Community offer Inadequate provisions and/or communications by LBE regarding services offered to facilitate transition post Brexit for EU residents of the Borough	HIGH	Initial controls currently in development.	HIGH	Plan to be developed to utilise flagship libraries to provide services	Shaun Rogan March 2019

BR8 – Supply chain Disruption to supply chain may cause adverse effects on delivery of services and/or increased costs.	HIGH	- Analysis of existing emergency suppliers identified no issues	MEDIUM	Further work to be undertaken to identify issues with key suppliers	TBC