

## AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2019/20

Item	19 June 19*	4 July 19	25 July 19*	17 Oct 19*	16 Jan 20*	5 Mar 20*
<b>Annual Statement of Accounts/Governance Statement</b>	Unaudited Accounts & Draft AGS 2018/19	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS ISA260 Update & Sign off of SOA 2018/19			
<b>BDO report on Statement of Accounts</b>		Verbal Update	LBE Audit Completion Report 2018/19 & Pension Fund Audit Completion Report 2018/19			
<b>BDO - Annual Audit &amp; Fees Letter (including audit &amp; inspection plan)</b>				LBE Fee Letter 2018/19 & Pension Fund Fee Letter 2018/19 – Combined Report	Audit Plan 2019/20 – Council main Account & Pension Audit Plan 2019/20	
<b>Treasury Management Strategy &amp; Prudential Indicators</b>					Council & Borrowing	
<b>Capital Expenditure &amp; Sensitivity of Interest Rates</b>						
<b>Contract Procedure Rules – Review &amp; Waivers</b>					Waivers Report & Update on procurement spend	Contract Procedure Rules
<b>Revised Property Procedure Rules</b>	New date in new municipal year 2019/20.					
<b>Audit Committee Annual Report &amp; Self - Assessment of Effectiveness</b>	18/19 Annual Report.  Review of Effectiveness June 2019					
<b>Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes</b>	(if applications to consider)			Update	Update	Update
<b>Information Governance &amp; Document Retention</b>	IGB Annual Performance Yearly update – 2018/19			IGB Annual Performance Yearly update – 2019/20		

	& GDPR Implementation			& GDPR Implementation		
<b>Financial Resilience Capacity Building Programme</b>				Update on Financial Resilience - Finance		
<b>Universal Credit Implementation</b>						
<b>Audit Committee Protocol - (yearly)</b>						
<b>Local Authority Trading Companies</b>						Update
<b>Debt Recovery Process</b>						
<b>Brexit Risk for Local Authorities</b>						
<b>Properties in Enfield Following Grenfell Disaster</b>						
<b>Managing the Savings</b>						
<b>Financial Regulations</b>						Audit Committee -Review of Effectiveness and Fin Regs – Constitution Refresh
<b>Terms of Reference – CIPFA position Statement</b>						
<b>Meridian Water Update</b>						
<b>Travellers Report</b>						

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<b>Council's Counter Fraud Strategy – items to be confirmed and allocated</b> <ul style="list-style-type: none"> <li>Counter Fraud &amp; Corruption Strategy</li> <li>Money Laundering Policy</li> </ul>	Counter Fraud Strategy					Anti-money Laundering Policy & Guidance and Whistle Blowing Policy
<b>Risk Management Reviews – items to be confirmed and allocated</b> <ul style="list-style-type: none"> <li>Risk Management Strategy</li> <li>Corporate risk register update</li> <li>Departmental risk registers</li> <li>Specific risk monitoring</li> <li>Promotion of risk awareness</li> </ul>	Corporate Risk Register			Corporate Risk Register	Corporate Risk Register	Corporate Risk Register
<b>BDO specific reports – items to be confirmed and allocated</b>	1. Progress Report				LBE Audit	Grants and

<ul style="list-style-type: none"> <li>• Accounts Audit Approach Memorandum</li> <li>• Use of Resources Audit</li> <li>• Grants Certification</li> <li>• Data Conversion Review</li> <li>• Value For Money</li> <li>• Review of Financial Resilience</li> </ul>					<b>Plan 2019/20 &amp; Pension Fund Audit Plan 2019/20</b>	<b>Returns Certification Report 2018/19</b>
<b>Internal Audit &amp; Investigations – Progress Report</b> <ul style="list-style-type: none"> <li>• Update of audit plan progress</li> <li>• Key risk findings</li> <li>• Investigations undertaken</li> <li>• Sanctions &amp; prosecutions</li> <li>• Results achieved</li> <li>• Whistleblowing Policy</li> <li>• Internal Audit Plan</li> </ul>	1. Internal Audit Annual Report 18/19  2. Counter Fraud Annual Report 2018/19  3. Final internal audit plan 2019/20  4. Audit Report for Schools					Draft Internal Audit Plan 2020/21  & Internal Audit Progress Report 2019/20
<b>External Audit (BDO) – Progress Report</b>						

\* To include a private meeting between the Committee members and External Audit.