

Audit & Risk Management Committee Action Sheet – Issues raised at previous meetings.

Committee Meeting:	6 March 2019
<p>Min. No. 995 – BDO – Grants Returns Certification Report 2017/18</p> <p style="padding-left: 40px;">1. Civica System</p> <p>Min No. 998 – Local Authorities Trading Companies Update</p> <p style="padding-left: 40px;">1. Council Companies ownership</p>	<p>BDO had identified a system error within the Civica system. Fay Hammond would speak with Civica to change the way the system works and the way the information is extracted.</p> <p>Nicky Fiedler would update the committee with further accurate details of partial percentage ownership of the Council’s companies.</p>
Committee Meeting:	24 January 2019
<p>Min No. 949 – Service Enabling Risk Registers – Resources & Chief Executive</p> <p style="padding-left: 40px;">1. Request by Cllr David-Sanders as to when the Cyber Security Audit Review had/when taken place.</p> <p style="padding-left: 40px;">2. Cllr Yusuf asked if GDPR mandatory training is also extended to all new and existing councillors.</p> <p style="padding-left: 40px;">3. Cllr Leaver asked for details of insurance claims against the Council.</p> <p>Min No. 950 – Universal Credit Update</p> <p style="padding-left: 40px;">1. In connection with Universal Credit claimants and those residents who need to open bank accounts to claim UC.</p>	<p>Fay Hammond would speak with Kari Manovitch (Acting Director of Customer Experience & Change) and report back to the committee.</p> <p>Director of Law & Governance would check and report back to the committee</p> <p>Gemma Young – (Head of Internal Audit & Risk Management) would try and bring statistics to the 6 March committee meeting.</p> <p>Fay Hammond would be getting a strategy together in terms of engaging with the credit union and Citizen’s Advice Bureau (CAB). Sally Sanders (Head of Financial Assessment) would also be inviting the DWP, Credit Union and CAB to the UC</p>

<p>Min No.952 – Internal Audit Progress 2018/19</p> <p>1. The committee requested the deadlines/timelines that managers are given to action audit recommendations. What the percentage was for managers implementations by the deadlines.</p>	<p>workshop for councillors, once set up.</p> <p>Gemma Young would investigate and report back to the committee.</p>
<p>Committee Meeting:</p>	<p>18 October 2018</p>
<p>Min No. 815 – Internal Audit Progress Report 2018/19</p> <p>1. The Committee requested that figures produced in service progress reports should have comparisons to previous years i.e. Managers progress with implementing internal audit actions.</p>	<p>Gemma Young will be including comparison figures from previous years by the next scheduled meeting on 10 January 2019. (Gemma Young – Head of Internal Audit & Risk Management)</p>
<p>Committee Meeting:</p>	<p>5 July 2018</p>
<p>Min No. 661 – Draft Statement of Accounts 2017/18.</p> <p>1. Joint representations to try and get fund managers to move more quickly in terms of valuing individual private equity investments.</p> <p>2. The committee asked for an understanding of reserves and if there was a reserves policy and benchmarking statistics of other Local Authorities.</p> <p>3. The committee required a level of disclosure in terms of the Council’s companies and their investments.</p>	<p>To invite one of the Council’s fund managers to a future committee meeting to answer questions about customer dissatisfaction. (Fay Hammond – Director of Finance)</p> <p>Finance to provide a table of reserves to a future meeting, setting out each reserve and how they are calculated. When the next set of benchmarking statistics is available, these would be circulated to the Committee. (Fay Hammond – Director of Finance)</p> <p>Finance clarified that the disclosure of company information was not a required disclosure but it could be a discretionary disclosure. (Fay Hammond – Director of Finance)</p>
<p>Committee Meeting:</p>	<p>26 July 2018</p>

Min No.685 – Gypsy and Traveller Position in Enfield.

1. The Committee to give further consideration to the following outcomes:
 - The outcome of the assessment of needs of the Gypsy and Traveller community and any recommendations as to the need for the Council to identify a 'pitch'.
 - The revised agreed protocol between the Metropolitan Police and the Council.
 - The business case and budget implications of implementing the revised protocol.

Min No.687 – Internal Audit Progress Report 2018/19.

The committee raised questions about the agreed timescales with managers for implementing audit recommendations and for more detail about overdue high risk recommendations.

For a future committee meeting.
(Director of Property)

Christine Webster to provide clarity on the next Internal Audit progress report.
(Christine Webster – Head of Internal Audit).