

AUDIT & RISK MANAGEMENT COMMITTEE – WORK PROGRAMME 2019/20

Item	19 June 19*	4 July 19	3 October 19 28 Aug 19 - Cancelled 25 July 19 - Cancelled	17 Oct 19*	16 Jan 20*	5 Mar 20*
Annual Statement of Accounts/Governance Statement	Unaudited Accounts & Draft AGS 2018/19	Unaudited Accounts – Discussion Meeting	Final audited accounts & AGS ISA260 Update & Sign off of SOA 2018/19	SOA – Action Plan - Verbal Update	SOA – Action Plan - Report	SOA – Action Plan - Report
BDO report on Statement of Accounts		Verbal Update	LBE Audit Completion Report 2018/19 & Pension Fund Audit Completion Report 2018/19			
BDO - Annual Audit & Fees Letter (including audit & inspection plan)				LBE Fee Letter 2018/19 & Pension Fund Fee Letter 2018/19	Audit Plan 2019/20 – Council main Account & Pension Audit Plan 2019/20 Annual Audit Letter 2018/19 (LBE & Pension Fund).	
Treasury Management Strategy & Prudential Indicators					Council & Borrowing	
Capital Expenditure & Sensitivity of Interest Rates						
Contract Procedure Rules – Review & Waivers						Contract Procedure Rules Waivers Report & Update on procurement spend
Revised Property Procedure Rules	New date in new municipal year 2019/20.					
Audit Committee Annual Report & Self - Assessment of Effectiveness	18/19 Annual Report. Review of Effectiveness June					

Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	2019 (if applications to consider)			Update	Update	Update
Information Governance & Document Retention	IGB Annual Performance Yearly update – 2018/19 & GDPR Implementation				IGB Annual Performance Yearly update – 2019/20 & GDPR Implementation	
Financial Resilience & Managing the Savings				Update on Financial Resilience/Managing the Savings - Finance		
Universal Credit Implementation						
Audit Committee Protocol - (yearly)						
Local Authority Trading Companies						Update
Debt Recovery Process						
Brexit Risk for Local Authorities						
Properties in Enfield Following Grenfell Disaster						
Brokerage Report - Update						
Cyber & Technology Security						
Financial Regulations						Audit Committee -Review of Effectiveness and Fin Regs – Constitution Refresh
Meridian Water Update						
Illegal Encampments Report						

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Council’s Counter Fraud Strategy – items to be confirmed and allocated	Counter Fraud Strategy					Anti-money Laundering Policy & Guidance and Whistle Blowing Policy

<ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 						
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	Corporate Risk Register			Corporate Risk Register	Corporate Risk Register	Corporate Risk Register
BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> Accounts Audit Approach Memorandum Use of Resources Audit Grants Certification Data Conversion Review Value For Money Review of Financial Resilience 	1. Progress Report				LBE Audit Plan 2019/20 & Pension Fund Audit Plan 2019/20	Grants and Returns Certification Report 2018/19
Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> Update of audit plan progress Key risk findings Investigations undertaken Sanctions & prosecutions Results achieved Whistleblowing Policy Internal Audit Plan 	1. Internal Audit Annual Report 18/19 2. Counter Fraud Annual Report 2018/19 3. Final internal audit plan 2019/20 4. Audit Report for Schools					Draft Internal Audit Plan 2020/21 & Internal Audit Progress Report 2019/20
External Audit (BDO) – Progress Report						

* To include a private meeting between the Committee members and External Audit.