

GENERAL PURPOSES COMMITTEE – WORK PROGRAMME 2020/21

Item	23 July 20	15 Oct 20	26 Nov 20	14 Jan 21	4 March 21	22 April 21
Annual Statement of Accounts/Governance Statement	Unaudited Accounts & Draft AGS 2019/20	Final audited accounts & AGS ISA260 Update & Sign off of Pension Fund Accounts 2019/20	SOA – Action Plan - Verbal Update	SOA – Action Plan - Verbal Update	SOA – Action Plan - Report	SOA – Action Plan - Report
BDO report on Statement of Accounts		LBE Audit Completion Report 2019/20 & Pension Fund Audit Completion Report 2019/20				
BDO - Annual Audit & Fees Letter (including audit & inspection plan)				LBE Fee Letter 2019/20 & Pension Fund Fee Letter 2019/20	Audit Plan 20/21 – Council main Account & Pension Audit Plan 2020/21 Annual Audit Letter 2019/20 (LBE & Pension Fund).	
Treasury Management Strategy & Prudential Indicators			Council & Borrowing			
Capital Expenditure & Sensitivity of Interest Rates						
Contract Procedure Rules – Review & Waivers						Contract Procedure Rules Waivers Report & Update on procurement spend
Audit Committee Annual Report		19/20 Annual Report.				
Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	(if applications to consider)			Update	Update	Update
Housing Dev Approach to Identifying Risk & Management						Joanne Drew report

Information Governance & Document Retention					IGB Annual Performance Yearly update – 2020/21 & GDPR Implementation	
Financial Resilience & Managing the Savings				Update on Financial Resilience/Managing the Savings - Finance		
Universal Credit Implementation						
Local Authority Trading Companies						Update
Debt Recovery Process						
Brexit Risk for Local Authorities						
Brokerage Report - Update		Report				
Cyber & Technology Security	Report		Update			
Meridian Water Update						
Polling District Review			Report			
Council's Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 	20/21 Counter Fraud Strategy & Operational Plan					Anti-money Laundering Policy & Guidance and Whistle Blowing Policy
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	1. Corporate Risk Register 2. 20/21 Risk Strategy & Operational Plan 3. Covid 19 – Risk Register	Corporate Risk Register Covid 19 Risk Register	Corporate Risk Register	Corporate Risk Register	Corporate Risk Register	Corporate Risk Register
BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> Accounts Audit Approach Memorandum Use of Resources Audit Grants Certification Data Conversion Review Value For Money 	1. Progress Report				LBE Audit Plan 2020/21 & Pension Fund Audit Plan	Grants and Returns Certification Report 2019/20

<ul style="list-style-type: none"> Review of Financial Resilience 					2020/21	
<p>Internal Audit & Investigations – Progress Report</p> <ul style="list-style-type: none"> Update of audit plan progress Key risk findings Investigations undertaken Sanctions & prosecutions Results achieved Whistleblowing Policy Internal Audit Plan 	<ol style="list-style-type: none"> Internal Audit Annual Report 19/20 Counter Fraud Annual Report 2019/20 Internal audit plan 2020/21 2019/20 Annual Schools Audit Report 					<p>Draft Internal Audit Plan 2021/22</p> <p>& Internal Audit Progress Report 2020/21</p>
<p>External Audit (BDO) – Progress Report</p>						