

GENERAL PURPOSES COMMITTEE – WORK PROGRAMME 2020/21

Item	23 July 20	15 Oct 20	26 Nov 20	14 Jan 21	4 March 21	22 April 21
Annual Statement of Accounts/Governance Statement	Unaudited Accounts & Draft AGS 2019/20	2019/20 Final accounts Update 2019/20 Final Pension Fund Accounts	Final audited accounts & AGS ISA260 Update & Sign off of Pension Fund Accounts 2019/20	SOA – Action Plan - Verbal Update	SOA – Action Plan - Report	SOA – Action Plan - Report
BDO report on Statement of Accounts		LBE Audit Update Report 2019/20 & verbal update Pension Fund Audit 2019/20	LBE Audit Completion Report 2019/20 & Pension Fund Audit Completion Report 2019/20			
BDO - Annual Audit & Fees Letter (including audit & inspection plan)				LBE Fee Letter 2019/20 & Pension Fund Fee Letter 2019/20	Audit Plan 20/21 – Council main Account & Pension Audit Plan 2020/21 Annual Audit Letter 2019/20 (LBE & Pension Fund).	
Draft Treasury Management Strategy Statement 2021/22				Council & Borrowing		
Capital Expenditure & Sensitivity of Interest Rates						
Contract Procedure Rules – Review & Waivers						Contract Procedure Rules Waivers Report & Update on procurement spend
Audit Committee Annual Report		19/20 Annual Report.				
Regulation of Investigatory Powers Act 2000 (RIPA) – incl legislative changes	(if applications to consider)				Report	
Housing Dev Approach to Identifying Risk & Management						Report
Information Governance &					IGB Annual Performance	

Document Retention					Yearly update – 2020/21 & GDPR Implementation	
Financial Resilience & Managing the Savings					Update on Financial Resilience/Managing the Savings - Finance	
Universal Credit Implementation						
Local Authority Trading Companies						Update
Debt Recovery Process						
Brexit Risk for Local Authorities						
Brokerage Report - Update		Report				
Cyber & Technology Security	Report		Update			
Meridian Water Update				Update		Update
Electoral Services Update			Update			
Polling District Review						
Member Development sessions				Update		
Council's Counter Fraud Strategy – items to be confirmed and allocated <ul style="list-style-type: none"> Counter Fraud & Corruption Strategy Money Laundering Policy 	20/21 Counter Fraud Strategy & Operational Plan				Sanctions & Prosecutions, Anti-money Laundering Whistle Blowing	
Risk Management Reviews – items to be confirmed and allocated <ul style="list-style-type: none"> Risk Management Strategy Corporate risk register update Departmental risk registers Specific risk monitoring Promotion of risk awareness 	1. Corporate Risk Register 2. 20/21 Risk Strategy & Operational Plan 3. Covid 19 – Risk Register	Corporate Risk Register Covid 19 Risk Register	Corporate Risk Register Brexit Risk Register	Corporate Risk Register	Corporate Risk Register	
BDO specific reports – items to be confirmed and allocated <ul style="list-style-type: none"> Accounts Audit Approach Memorandum Use of Resources Audit Grants Certification Data Conversion Review 	1. Progress Report				LBE Audit Plan 2020/21 & Pension Fund Audit	Grants and Returns Certification Report 2019/20

<ul style="list-style-type: none"> • Value For Money • Review of Financial Resilience 					Plan 2020/21	
Internal Audit & Investigations – Progress Report <ul style="list-style-type: none"> • Update of audit plan progress • Key risk findings • Investigations undertaken • Sanctions & prosecutions • Results achieved • Whistleblowing Policy • Internal Audit Plan 	1. Internal Audit Annual Report 19/20 2. Counter Fraud Annual Report 2019/20 3. Internal audit plan 2020/21 4. 2019/20 Annual Schools Audit Report				Draft Internal Audit Plan 2021/22	
External Audit (BDO) – Progress Report						